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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/879,688	06/12/2001	Jae-Yoel Kim	678-693 (P9800)	4991
28249	7590	01/19/2006	EXAMINER	
DILWORTH & BARRESE, LLP 333 EARLE OVINGTON BLVD. UNIONDALE, NY 11553			TORRES, JOSEPH D	
			ART UNIT	PAPER NUMBER
			2133	
DATE MAILED: 01/19/2006				

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary

Application No.

09/879,688

Applicant(s)

KIM ET AL.

Examiner

Joseph D. Torres

Art Unit

2133

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 12 December 2005.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 8,9,19,20,25 and 29-38 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 8,9,19,20,25,29-31,33,34,36 and 38 is/are rejected.
- 7) ☒ Claim(s) 32,35 and 37 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 12 June 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____
- 4) ☐ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: _____

DETAILED ACTION

Claim Objections

1. Claims 8, 19 and 29 are objected to because of the following informalities: TFCI is undefined in the claim (the first time, the abbreviation TFCI is used, the actual language that defines the abbreviation should be spelled out; Note: 25 is fine as is). Appropriate correction is required.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

2. Claims 8, 9, 19 and 20 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 8 recites the limitation "the sequence of 2ⁿ symbols" in line 12. There is insufficient antecedent basis for this limitation in the claim.

Claim 8 recites the limitation "the sequence of m symbols" in line 13. There is insufficient antecedent basis for this limitation in the claim.

Claim 19 recites the limitation "the sequence of 2ⁿ symbols" in line 10. There is insufficient antecedent basis for this limitation in the claim.

Claim 19 recites the limitation "the sequence of m symbols" in line 11. There is insufficient antecedent basis for this limitation in the claim.

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

The factual inquiries set forth in *Graham v. John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

1. Determining the scope and contents of the prior art.
 2. Ascertaining the differences between the prior art and the claims at issue.
 3. Resolving the level of ordinary skill in the pertinent art.
 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
3. Claims 8 and 19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Citation #4 ("Text proposal regarding TFCI coding for FDD", TSGR1#7(99)D69, August 30-September 3, 1999) in view of Wicker (Stephen B. Wicker, Error Control Systems for Digital Communication and Storage, Prentice-Hall, 1996, pages 149-155).

35 U.S.C. 103(a) rejection of claims 8 and 19.

Citation #4 teaches an orthogonal sequence generator for creating a plurality of biorthogonal sequences having a length of at least 2^n , where $n=5$, and outputting a biorthogonal sequence selected from the biorthogonal sequences by first information bits of the TFCI (The Table on the second page of Citation #4 clearly suggests a means

for generating the Orthogonal Variable Spreading Factor OVSF Code sequence $C_{32,1}$ to $C_{32,32}$); a mask sequence generator for creating a plurality of mask sequences, and outputting a mask sequence selected from the mask sequences by second information bits of the TFCI (Table 1 on the third page of Citation #4 clearly suggests a means for creating a plurality of mask sequences); an adder for adding a biorthogonal sequence from the orthogonal sequence generator and a mask sequence from the mask sequence generator (Figure 2 on the third page of Citation #4 teaches a adder Σ for adding a biorthogonal sequence $C_{32,2}$, $C_{32,3}$, $C_{32,5}$, $C_{32,9}$ and $C_{32,17}$ from the orthogonal sequence generator and a mask sequence, Mask 1-4, from the mask sequence generator); and a puncturer for performing puncturing on the sequence of 2^n symbols from the adder so as to output the sequence of m symbols (Figure 1 on the third page of Citation #4 teaches a puncturer for performing puncturing on the sequence of 32-bit symbols from the adder so as to output a sequence of 32-bit symbols).

However Citation #4 does not explicitly teach the specific use of a second order Reed-Muller code with $2^n > 48$.

Wicker, in an analogous art, teaches use of a second order Reed-Muller code with $2^n > 48$ (Table 7-1 on page 154 of Wicker).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Citation #4 with the teachings of Wicker by including use of a Reed-Muller code with $2^n > 48$. This modification would have been obvious to one of ordinary skill in the art, at the time the invention was made, because one of ordinary

skill in the art would have recognized that use of a Reed-Muller code with $2^n > 48$ would have provided increased error protection.

4. Claims 9 and 20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Citation #4 ("Text proposal regarding TFCI coding for FDD", TSGR1#7(99)D69, August 30-September 3, 1999) and Wicker (Stephen B. Wicker, Error Control Systems for Digital Communication and Storage, Prentice-Hall, 1996, pages 149-155).

35 U.S.C. 103(a) rejection of claims 9 and 20.

Citation #4 and Wicker substantially teaches the claimed invention described in claims 8 and 19 (as rejected above). Note: Figure 1 on the third page of Citation #4 teaches a puncturer for performing puncturing on the sequence of 32-bit symbols from the adder so as to rate match the output to a particular channel requirement by producing an output sequence from the puncturer of 30-bit symbols.

However Citation #4 and Wicker do not explicitly teach the specific use of specific puncturing patterns.

The Examiner asserts that one of ordinary skill in the art at the time the invention was made would know that puncturing is the process of removing bits from an encoded data stream to increase data transmission rates. In addition, one of ordinary skill in the art at the time the invention was made would have known that for a 64-bit data stream that there are only a finite number of obvious puncturing patterns to select from to achieve a

particular rate required by a channel (Note: the puncturing patterns are obvious since there are only a finite number of them).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the teachings of Citation #4 and Wicker by including use of specific puncturing patterns. This modification would have been obvious to one of ordinary skill in the art, at the time the invention was made, because one of ordinary skill in the art would have recognized that use of specific puncturing patterns would have provided a means for matching rates to channel requirements.

5. Claims 25, 29, 36 and 38 are rejected under 35 U.S.C. 103(a) as being unpatentable over Citation #4 ("Text proposal regarding TFCI coding for FDD", TSGR1#7(99)D69, August 30-September 3, 1999) and Wicker (Stephen B. Wicker, Error Control Systems for Digital Communication and Storage, Prentice-Hall, 1996, pages 149-155) in view of Citation #7 ("Harmonization impact on TFCI and New Optimal Coding for extended TFCI with Almost no Complexity increase", TSGR#6(99)970, July 13-16, 1999).

35 U.S.C. 103(a) rejection of claims 25, 29, 36 and 38.

Citation #4 teaches an orthogonal sequence generator for creating a plurality of biorthogonal sequences having a length of at least 2^n , where $n \geq 5$, and outputting a biorthogonal sequence selected from the biorthogonal sequences by first information bits of the TFCI (The Table on the second page of Citation #4 clearly suggests a means

for generating the Orthogonal Variable Spreading Factor OVSF Code sequence $C_{32,1}$ to $C_{32,32}$); a mask sequence generator for creating a plurality of mask sequences, and outputting a mask sequence selected from the mask sequences by second information bits of the TFCI (Table 1 on the third page of Citation #4 clearly suggests a means for creating a plurality of mask sequences); an adder for adding a biorthogonal sequence from the orthogonal sequence generator and a mask sequence from the mask sequence generator (Figure 2 on the third page of Citation #4 teaches a adder Σ for adding a biorthogonal sequence $C_{32,2}$, $C_{32,3}$, $C_{32,5}$, $C_{32,9}$ and $C_{32,17}$ from the orthogonal sequence generator and a mask sequence, Mask 1-4, from the mask sequence generator); and a puncturer for performing puncturing on the sequence of 2^n symbols from the adder so as to output the sequence of m symbols (Figure 1 on the third page of Citation #4 teaches a puncturer for performing puncturing on the sequence of 32-bit symbols from the adder so as to output a sequence of 32-bit symbols).

However Citation #4 does not explicitly teach the specific use of a second order Reed-Muller code with $2^n > 48$.

Wicker, in an analogous art, teaches use of a second order Reed-Muller code with $2^n > 48$ (Table 7-1 on page 154 of Wicker).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Citation #4 with the teachings of Wicker by including use of a Reed-Muller code with $2^n > 48$. This modification would have been obvious to one of ordinary skill in the art, at the time the invention was made, because one of ordinary

skill in the art would have recognized that use of a Reed-Muller code with $2^n > 48$ would have provided increased error protection.

However Citation #4 and Wicker do not explicitly teach the specific use of specific puncturing patterns.

The Examiner asserts that one of ordinary skill in the art at the time the invention was made would know that puncturing is the process of removing bits from an encoded data stream to increase data transmission rates. In addition, one of ordinary skill in the art at the time the invention was made would have known that for a 64-bit data stream that there are only a finite number of obvious puncturing patterns to select from to achieve a particular rate required by a channel (Note: the puncturing patterns are obvious since there are only a finite number of them).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the teachings of Citation #4 and Wicker by including use of specific puncturing patterns. This modification would have been obvious to one of ordinary skill in the art, at the time the invention was made, because one of ordinary skill in the art would have recognized that use of specific puncturing patterns would have provided a means for matching rates to channel requirements.

However Citation #4 and Wicker do not explicitly teach the specific use of a Walsh Code.

Citation #7, in an analogous art, teaches use of a Walsh Code (Figure 5 on page 5 of Citation #7).

Art Unit: 2133

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Citation #4 and Wicker with the teachings of Citation #7 by including use of a Walsh Code. This modification would have been obvious to one of ordinary skill in the art, at the time the invention was made, because one of ordinary skill in the art would have recognized that use of a Walsh Code would have provided a simple decoding procedure because of the natural extension (page 5 of Citation #7).

6. Claims 30, 31, 33 and 34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Citation #4 ("Text proposal regarding TFCI coding for FDD", TSGR1#7(99)D69, August 30-September 3, 1999), Wicker (Stephen B. Wicker, Error Control Systems for Digital Communication and Storage, Prentice-Hall, 1996, pages 149-155) and Citation #7 ("Harmonization impact on TFCI and New Optimal Coding for extended TFCI with Almost no Complexity increase", TSGR#6(99)970, July 13-16, 1999).

35 U.S.C. 103(a) rejection of claims 30 and 33.

Citation #4, Wicker and Citation #7 substantially teaches the claimed invention described in claims 25 and 29 (as rejected above). In addition, Figure 5 in Citation #7 teaches selection of specific Walsh codes.

However Citation #4, Wicker and Citation #7 do not explicitly teach the specific use of the specific Walsh Codes in claim 30.

Art Unit: 2133

The Examiner asserts that one of ordinary skill in the art at the time the invention was made would know that there are only a finite number of 64-bit Walsh codes to select from and hence selection of another finite number of Walsh code is an obvious variation.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the teachings of Citation #4, Wicker and Citation #7 by including use of the specific Walsh Codes in claim 30. This modification would have been obvious to one of ordinary skill in the art, at the time the invention was made, because one of ordinary skill in the art would have recognized that use of the specific Walsh Codes in claim 30 would have provided a simple decoding procedure because of the natural extension (page 5 of Citation #7).

35 U.S.C. 103(a) rejection of claim 31 and 34.

Citation #4, Wicker and Citation #7 substantially teaches the claimed invention described in claims 25 and 29 (as rejected above).

However Citation #4, Wicker and Citation #7 do not explicitly teach the specific use of the specific masking sequence in claim 31.

The Examiner asserts that one of ordinary skill in the art at the time the invention was made would know that there are only a finite number of 64-bit masking sequences to select from and hence selection of another finite number of masking sequences is an obvious variation.

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to modify the teachings of Citation #4, Wicker and Citation #7 by including use of the specific masking sequence in claim 31. This modification would have been obvious to one of ordinary skill in the art, at the time the invention was made, because one of ordinary skill in the art would have recognized that use of the specific masking sequence in claim 31 would have provided a simple decoding procedure because of the natural extension (page 5 of Citation #7).

Allowable Subject Matter

7. Claims 32, 35 and 37 objected to as being dependent upon respective rejected base claims, but would be allowable if rewritten in independent form including all of the limitations of the respective base claims and any intervening claims.

Conclusion

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Joseph D. Torres whose telephone number is (571) 272-3829. The examiner can normally be reached on M-F 8-5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Albert Decady can be reached on (571) 272-3819. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



**JOSEPH TORRES
PRIMARY EXAMINER**

Joseph D. Torres, PhD
Primary Examiner
Art Unit 2133